

ATTACHMENT 5

Overall DBE Three-Year Goal Methodology

Name of Recipient: Nephi Municipal Airport (U14)

Goal Period: October 1, 2023 through September 30, 2026

DOT-assisted contract amount:

	FY-2024	\$ 586,450
	FY-2025	\$ 0
	FY-2026	<u>\$ 348,000</u>
Total		\$ 934,450

Overall Three-Year Goal: 3.68% to be accomplished through 3.68% RC and 0.0% RN

Total dollar amount to be expended on DBE's: \$19,530

Describe the Number and Type of Projects that the airport anticipates awarding:

Contract Fiscal Year #1 (2024) - \$586,450

1. Master Plan Update - \$331,016
2. Replace AWOS III – \$255,434
3. Acquire SRE (Truck and Plow) – \$239,987*

Contracts Fiscal Year #2 (2025) – \$0.00

1. No work scheduled.

Contracts Fiscal Year #3 (2026) - \$375,000

1. Construct Parking Lot - \$375,000

*This item is not included in the base figure as there are no DBE opportunities associated with equipment purchases.

Market Area: The area in which the Airport spends the substantial majority of its contracting dollars is Sanpete, Juab, Sevier, Carbon, Duchesne, Emery, Millard, Tooele, Wasatch, and Utah Counties.

Step 1. Actual relative availability of DBE's

The base figure for the relative availability was calculated as follows:

Method: Use DBE Directories:

The data source or demonstrable evidence used to derive the numerator was: Utah Unified Certification Program (UUCP) DBE Directory dated July 17, 2020:

<https://drive.google.com/file/d/1r6n4o9F14jFEqINICrFxrfiAs9948ho/view>

The data source or demonstrable evidence used to derive the denominator was: Census Bureau Data <https://data.census.gov/cedsci/>

Availability of DBE firms:

FY 24

NAICS	Type of Work	Total DBEs	Total All Firms
237310	Highway, Street, and Bridge Construction	3	21
238910	Site Preparation Contractors	3	171
423810	Construction & Mining Machinery and Equipment Merchant Wholesalers	1	9
484220	Specialized Freight (except Used Goods) Trucking, Local	9	125
541330	Engineering Services	1	169
541370	Surveying and Mapping (except Geophysical) Services	1	10
Total	3.56%	18	505

FY 25

- No federal funded Construction/Planning Projects

FY 26

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237310	Highway, Street, and Bridge Construction	3	21
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484220	Specialized Freight (except Used Goods) Trucking, Local	9	125
541330	Engineering Services	1	169
541370	Surveying and Mapping (except Geophysical) Services	1	10
541380	Testing Laboratories and Services	0	10
561730	Landscaping Services	6	320
Total	2.78%	23	826

The base goal projection after weighting is as follows:

Dividing the total number of DBEs by the total number of All Firms gives a base DBE availability figure for each contract. The availability figures for all contracts were then combined and averaged to provide the basis for the three-year overall goal.

The base goal projections are as follows:

- Fiscal Year #1 – 3.56%
- Fiscal Year #2 – 0.00%
- Fiscal Year #3 – 2.72%

Average of weighted availability: $(3.56\% + 2.72\%)/2 = 2.66\%$

Base of DBE Goal: 3.14%

Step 2. Adjustments to Step 1 base figure.

After calculating a base figure of the relative availability of DBEs, evidence was examined to determine what adjustment (if any) was needed to the base figure in order to arrive at the overall goal.

Weighted Goal Setting

Due to the lack of DBE participation in a significant portion of the work categories, a weighted goal was not used. When a weighted calculation was done the DBE Goal percentage was less than the base goal. The base goal better reflects the actual DBE availability.

Past History Participation

Not enough historical data on DBE participation is available to reference to make an adjustment to the Step 1 base figure; therefore, Nephi Municipal Airport is adopting the Step 1 base figure as the overall goal for this three-year goal period.

Furthermore, there are no applicable disparity studies for the local market area or recent legal case information from the relevant jurisdictions to show evidence of barriers to entry or competitiveness of DBEs in the market area that is sufficient to warrant making an adjustment to the base goal.

Breakout of Estimated “Race and Gender Neutral” (RN) and “Race and Gender Conscious” (RC) Participation.

Nephi Municipal Airport will meet the maximum feasible portion of the overall goal by using RC means of facilitating DBE participation. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE, and other small businesses, participation;

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE, and other small businesses, participation;
2. Providing assistance in overcoming limitations such as inability to obtain bonding or financing;
3. Providing technical assistance and other services thru the SBDC, BRC, AGC, and PTAC;
4. Carrying out information and communications programs on contracting procedures and specific contract opportunities;
5. Implementing a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses;
6. Providing services to help DBEs and other small businesses improve long-term development, increase opportunities to participate in a variety of kinds of work, handle increasingly significant projects, and achieve eventual self-sufficiency;
7. Establishing a program to assist new, start-up firms, particularly in fields in which DBE participation has historically been low;
8. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors; and
9. Assist DBEs and other small businesses, to develop their capability to utilize emerging technology and conduct business through electronic media.

Nephi Municipal Airport estimates that in meeting the established overall goal of 3.68%, it will obtain 0.0% from RN participation and 3.68% through RC measures.

This breakout is based on:

- a) The Recipient does not have a history of DBE participation or over-achievement of goals to reference and expects to obtain its DBE participation through the use of DBE contract goals or a conscious effort to obtain DBE participation. Therefore, the entire goal of 3.68% is to be obtained through race-conscious participation.

Nephi Municipal Airport will adjust the estimated breakout of RN and RC DBE participation as needed to reflect actual DBE participation and track and report RN and RC participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal, and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

PUBLIC PARTICIPATION

Consultation:

In establishing the overall goal, Nephi City provided for consultation and publication. This process included consultation with minority, women's, and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the Nephi Municipal Airport's efforts to establish a level playing field for the participation of DBEs. The consultation included a scheduled, direct, interactive exchange with as many interested stakeholders as possible focused on obtaining information relevant to the goal setting process and was conducted before the goal methodology was submitted to the operating administration for review. Details of the consultation are as follows.

The consultation engaged in was a teleconference, which was held on December 8, 2023 at 11:00 a.m. MST.

The following comments were received during the course of the consultation: **There were no attendees.**

A notice of the proposed goal was published on the Nephi City official website, www.ephraimcity.org before the methodology was submitted to FAA.

If the proposed goal changes following review by FAA, the revised goal will be posted on Ephraim City official website www.ephraimcity.org.

Notwithstanding paragraph (f)(4) of §26.45, Nephi Municipal Airport proposed goals will not be implemented until this requirement has been met.

PUBLIC NOTICE

Nephi City hereby announces its hereby announces its proposed Disadvantaged Business Enterprise (DBE) participation goal of 3.68% for FAA-funded contracts/agreements. The proposed goal pertains to federal fiscal years 2024 through 2026. A teleconference will be December 8, 2023 at 11:00 a.m. MST via teleconference for the purpose of consulting with stakeholders to obtain information relevant to the goal-setting process. To participate in this teleconference, use the following information: dial 1-937-240-2430, and enter participant code 519325054#.